



**Department of Energy**  
Washington, DC 20585

June 13, 2019

Ms. Emma Best  
MuckRock News  
Dept. MR 74280  
411A Highland Avenue  
Somerville, MA 02144-2516  
[75046-75808403@requests.muckrock.com](mailto:75046-75808403@requests.muckrock.com)

Re: Freedom of Information Act Request HQ-2019-00997-F

Dear Ms. Best:

This is a final response from the Department of Energy (DOE), Office of Inspector General (OIG) to your request for information under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. You requested a database printout or listing of the Office of Inspector General's investigations that were closed in the 2017 calendar year.

The OIG has completed the search and review of its files and identified (1) document responsive to your request. A review of this document and a determination concerning its release has been made pursuant to the FOIA. Based on this review, the OIG determined that certain material should be withheld pursuant to subsections (b)(6) and b(7)(C) of the FOIA, hereafter referred to as Exemptions 6 and 7(C), respectively.

Exemption 6 protects from disclosure "personnel and medical and similar files, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. . . ." Exemption 7(C) provides that "records or information compiled for law enforcement purposes" may be withheld from disclosure, but only to the extent the production of such documents "could reasonably be expected to constitute an unwarranted invasion of personal privacy. . . ."

Names and information that would tend to disclose the identity of certain individuals have been withheld pursuant to Exemptions 6 and 7(C). Individuals involved in the OIG enforcement matters, which in this case include subjects, witnesses, sources of information, and other individuals, are entitled to privacy protections so that they will be free from harassment, intimidation and other personal intrusions.

In invoking Exemptions 6 and 7(C), we have determined that it is not in the public interest to release the withheld material. In this request, we have determined that the public interest in the identity of individuals who appear in these files does not outweigh these individuals' privacy interests. Those interests include being free from intrusions into their professional and private lives.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

To the extent permitted by law, the DOE, in accordance with Title 10, Code of Federal Regulations (C.F.R.), Section 1004.1, will make available records it is authorized to withhold pursuant to the FOIA unless it determines such disclosure is not in the public interest.

As required, all releasable information has been segregated from the material that is withheld and is provided to you. See 10 C.F.R. § 1004.7(b)(3).

You may challenge the adequacy of this search for responsive documents by submitting a written appeal to the Director, Office of Hearings and Appeals, HG-1 /L'Enfant Plaza Building, U.S. Department of Energy, 1000 Independence Avenue, SW, Washington, DC 20585-1615 or by email to [OHA.filings@hq.doe.gov](mailto:OHA.filings@hq.doe.gov), including the phrase "Freedom of Information Appeal" in the subject line. You should submit the appeal within 90 calendar days from your receipt of this letter pursuant to 10 C.F.R. § 1004.8.

Thereafter, judicial review will be available to you in the Federal district court either 1) in the district where you reside; 2) where you have your principal place of business; 3) where the Department's records are situated, or 4) in the District of Columbia.

If you have any questions about the processing of your request, you may contact our FOIA Public Liaison, Mr. Alexander Morris, who may be contacted by phone (202) 586-5955, or by email at [Alexander.Morris@hq.doe.gov](mailto:Alexander.Morris@hq.doe.gov) to discuss any aspect of your request. Also, please know that you have the right to seek dispute resolution services from the FOIA Public Liaison or the Office of Government Information Services (<https://ogis.archives.gov>) via telephone (202) 741-5770 / toll-free (877) 684-6448; fax: (202) 741-5769; or email [ogis@nara.gov](mailto:ogis@nara.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Dustin R. Wright".

Dustin R. Wright  
Assistant Inspector General  
for Investigations  
Office of Inspector General

Enclosure

Document Number 1

Ad-Hoc Report		
18JAN2018		
Number	Title	Date Closed
(b)(6),(b)(7)(C) 08-0086-I	TIME CARD FRAUD BY CH2M HILL HANFORD GROUP EMPLOYEES.	13Jan2017
(b)(6),(b)(7)(C) 15-0121-I	[REDACTED] False Claims, False Statements; Ohio State University	23Jan2017
14-0101-I	PROACTIVE - NNSA Employees; Workmans Compensation Benefits; NNSA Albuquerque Complex	31Jan2017
16-0101-I	NanoTech Labs; Kickbacks; Savannah River Site	01Feb2017
(b)(6),(b)(7)(C) 16-0103-I	[REDACTED] Credit Card Fraud; Knolls Atomic Power Laboratory	03Feb2017
(b)(6),(b)(7)(C) 15-0078-I	CHPRC, FCA Asbestos Removal; Hanford	03Feb2017
(b)(6),(b)(7)(C) 15-0071-I	Unknown; Phishing; SRS	03Feb2017
(b)(6),(b)(7)(C) 16-0121-I	[REDACTED] and [REDACTED] Trespassing and Destruction of Property; Oak Ridge National Laboratory; Oak Ridge, Tennessee	08Feb2017
(b)(6),(b)(7)(C) 17-0014-I	[REDACTED] Hiring Irregularities; NETL	08Feb2017
(b)(6),(b)(7)(C) 12-0020-I	[REDACTED]; FC-FS GRANT TIME CHARGES; CROWNPOINT, IL	10Feb2017
(b)(6),(b)(7)(C) 13-0077-I	[REDACTED]; THEFT OF GOVERNMENT PROPERTY; ETPP	13Feb2017
(b)(6),(b)(7)(C) 15-0001-I	CHPRC et al; False Claims/Qui-Tam; Hanford Site	13Feb2017
(b)(6),(b)(7)(C) 14-0122-I	[REDACTED]; False Claims; ORNL	14Feb2017
(b)(6),(b)(7)(C) 16-0005-I	[REDACTED]; Employment Fraud; ORNL	14Feb2017
(b)(6),(b)(7)(C) 15-0091-I	Retriev; Allegations of Overhead Charging Irregularities; FE	15Feb2017
(b)(6),(b)(7)(C) 10-0112-I	[REDACTED] LOBBYING WITH FEDERAL FUNDING	16Feb2017
16-0129-I	Alleged Retaliation and Contract Irregularities; Battelle Energy Alliance; Idaho National Laboratory	17Feb2017
16-0071-I	Washington Closure Hanford/Iron Mountain Construction; Subcontract Irregularities; Hanford	22Feb2017
(b)(6),(b)(7)(C) 17-0017-I	GemTech; Alleged Contact Irregularities; CNS; Y-12; Oak Ridge, TN	03Mar2017
(b)(6),(b)(7)(C) 13-0051-I	[REDACTED] RESEARCH MISCONDUCT; LLNL	07Mar2017
(b)(6),(b)(7)(C) 16-0106-I	[REDACTED]; False Claims; Bechtel National, Incorporated	07Mar2017
11-0141-I	PARSONS; FALSE PER DIEM CLAIMS; SWPF-SRS	08Mar2017

Number	Title	Date Closed
16-0096-I	Proactive; FCA WCH and SDVO subcontractors; Hanford Site	10Mar2017
(b)(6),(b)(7)(C) 17-0021-I	Misuse of Government Funds; Lawrence Livermore National Laboratory; NNSA	10Mar2017
17-0007-I	[REDACTED] Export Control Violations; Sandia National Laboratories	14Mar2017
(b)(6),(b)(7)(C) 15-0094-I	[REDACTED] Allegations of Unlawful Transfer of US Export and Intellectual Property; SC	15Mar2017
14-0022-I	NORESCO; STEED CONSTRUCTION; CONTRACT FRAUD, INL	21Mar2017
16-0003-I	Garland Industries, Inc., et al.; Qui Tam; False Claims; SC/EE, Ohio	23Mar2017
(b)(6),(b)(7)(C) 16-0058-I	Proactive; NSTec subcontracts; Las Vegas, NV	23Mar2017
16-0137-I	[REDACTED]; Child Pornography/Child Abuse; SNL-CA	27Mar2017
13-0109-I	PARSONS; FALSE CLAIM; AIKEN, SC	28Mar2017
11-0015-I	CM ENERGIES-JONESTOWN; FC-FS ARRA GRANT; JONESTOWN, TX	03Apr2017
(b)(6),(b)(7)(C) 17-0040-I	Alleged Mismanagement; Office of Human Capital	03Apr2017
16-0086-I	[REDACTED] Standards of Ethical Conduct Violations; SRS, Aiken, SC	03Apr2017
(b)(6),(b)(7)(C) 15-0077-I	ARRA; Mismanagement of the Weatherization Program; South Central Community Action Agency; D'Lo, MS	03Apr2017
17-0032-I	[REDACTED] False Claims; UPF; Bechtel; Oak Ridge, TN	20Apr2017
16-0130-I	Proactive; Solar Energy Financial Assistance Awards; EERE	04May2017
(b)(6),(b)(7)(C) 17-0005-I	Proactive; Long Term Disability / False Claims; Region 3	09May2017
16-0020-I	[REDACTED]; Conflict of Interest; MD	10May2017
15-0111-I	Southern Company; Alleged Abuse Loan Guarantee Program; Plant Vogtle GA	12May2017
16-0030-I	Columbia University; Qui Tam; DOE	18May2017
(b)(6),(b)(7)(C) 16-0140-I	Office of International Affairs; Improper Sole-Source Contract in Ukraine	19May2017
15-0128-I	[REDACTED]; POSSESSION / DISTRIBUTION OF CHILD PORNOGRAPHY; NETL-ALBANY,OR	24May2017
(b)(6),(b)(7)(C) 16-0023-I	[REDACTED]; FALSE CLAIMS; WRPS	01Jun2017
(b)(6),(b)(7)(C) 13-0012-I	[REDACTED] TGP; SNL-NM	02Jun2017
(b)(6),(b)(7)(C) 13-0015-I	[REDACTED]; TGP - GSA FUEL CARDS; LANL	05Jun2017
17-0002-I	[REDACTED] Integrity/Ethics of Govt. Official; WAPA	13Jun2017

Number	Title	Date Closed
(b)(6),(b)(7)(C) 16-0035-I	CTWS; Program Theft; BPA	16Jun2017
(b)(6),(b)(7)(C) 16-0038-I	[REDACTED]; Explicit Computer Content; Lawrence Livermore National Laboratory	23Jun2017
06-0153-I	DOE; MULTIPLE COMPROMISES; "SIRVIC"	28Jun2017
(b)(6),(b)(7)(C) 12-0077-I	QUANTUM PARTNERS; FALSE STATEMENTS; Y-12	29Jun2017
(b)(6),(b)(7)(C) 17-0037-I	[REDACTED]; Controlled Substance; Sandia National Laboratories	11Jul2017
(b)(6),(b)(7)(C) 14-0014-I	[REDACTED]; FC-FS T&A FRAUD; NREL	14Jul2017
(b)(6),(b)(7)(C) 16-0027-I	[REDACTED]; Theft of Government Property; Y-12; Oak Ridge, TN	18Jul2017
(b)(6),(b)(7)(C) 16-0059-I	Multiple Subjects ; Theft of Government Property; WAPA	24Jul2017
16-0136-I	[REDACTED] Child Pornography; WAPA-SLC	25Jul2017
17-0044-I	Overtime Fraud; UPF Project, Oak Ridge, TN	27Jul2017
15-0067-I	Smith Group-Mortensen; FC-FS; NREL	01Aug2017
12-0010-I	INTEGRATED MICROSENSORS; SBIR GRANT FRAUD; HOUSTON, TX	02Aug2017
15-0113-I	NOVA-DINE CORPORATION; KICKBACKS/BRIBES/FALSE CLAIMS/FALSE STATEMENTS; SANDIA NATIONAL LABORATORIES-NM	02Aug2017
(b)(6),(b)(7)(C) 17-0064-I	CH2M HILL; Contract Fraud; Legacy Management	03Aug2017
16-0043-I	[REDACTED]; OWCP FRAUD; CHICAGO, IL.	03Aug2017
14-0110-I	Monolith Solar Associates; False Claims; EERE (ARRA)	10Aug2017
(b)(6),(b)(7)(C) 16-0034-I	Proactive Initiative; Review of DOE Funds Recipient(s) for Potential Fraud; Oak Ridge, TN	11Aug2017
12-0106-I	[REDACTED] THEFT OF GOVERNMENT FUNDS; SRS	16Aug2017
13-0110-I	ARRA; PCA; WAP; ATLANTA, GA	17Aug2017
(b)(6),(b)(7)(C) 16-0107-I	E2 Consulting; Small Business Violations; Aiken, SC	17Aug2017
16-0141-I	[REDACTED]; THEFT OF GOVERNMENT FUNDS; SRNL, AIKEN, SC	17Aug2017
(b)(6),(b)(7)(C) 16-0090-I	Vinazene, Inc.; SBIR Fraud; Ann Arbor, MI	08Sep2017
17-0012-I	[REDACTED]; Timecard Fraud; Hanford	11Sep2017
08-0102-I	E&P; PRODUCT SUBSTITUTION; SRS, Aiken, SC	13Sep2017
(b)(6),(b)(7)(C) 16-0075-I	SBIR Proactive Investigation; Grant Fraud; R7	20Sep2017
08-0020-I	[REDACTED] FC-FS WORKMANS COMP; WAPA	21Sep2017

Number	Title	Date Closed
(b)(6),(b)(7)(C) 16-0138-I	[REDACTED] Misuse of government funds and unauthorized disclosure of Nonpublic Information; Fossil Energy	22Sep2017
(b)(6),(b)(7)(C) 17-0033-I	[REDACTED] Per Diem Fraud, Bechtel, Oak Ridge, TN	26Sep2017
(b)(6),(b)(7)(C) 17-0063-I	[REDACTED] Transit Benefit Fraud; FERC	27Sep2017
(b)(6),(b)(7)(C) 17-0004-I	Theft of Government Property Monitoring File; Region 1	27Sep2017
(b)(6),(b)(7)(C) 17-0013-I	[REDACTED] Rice University; Duplicate Funding; TX	27Sep2017
(b)(6),(b)(7)(C) 16-0066-I	[REDACTED] ENERGY SAVINGS PERFORMANCE CONTRACT FRAUD; EERE	27Sep2017
13-0091-I	Triple A Mechanical; Weatherization Fraud; Glen Allen, VA	29Sep2017
17-0008-I	S/CI MONITORING CASE / REGION 4 INVESTIGATIONS / FY 2017	04Oct2017
17-0084-I	Theft of Government Property Monitoring File; Region 3 Pittsburgh - FY 2017	10Oct2017
17-0035-I	2017 Theft of Government Property Monitoring File National Energy Technology Laboratory - Pittsburgh	10Oct2017
(b)(6),(b)(7)(C) 16-0126-I	[REDACTED]; Theft Government Property; INL	12Oct2017
(b)(6),(b)(7)(C) 11-0010-I	LOVELACE RESPIRATORY RESEARCH INSTITUTE; FC-FS; ABQ, NM	13Oct2017
17-0083-I	[REDACTED]; Diamond Materials, Inc; SBIR Fraud; PA	13Oct2017
17-0011-I	MONITORING; THEFT OF GOV'T PROPERTY; LIVERMORE	16Oct2017
12-0093-I	Muskegon Oceana Community Action Partnership; Weatherization Fraud; Muskegon, MI	23Oct2017
17-0071-I	Theft of Government Property - Monitoring File; Paducah Site	23Oct2017
17-0036-I	Theft of Government Property Monitoring File, Fernald, OH	23Oct2017
17-0042-I	Suspect/Counterfeit Items (S/CI) Monitoring File - Portsmouth Site, Piketon, Ohio	23Oct2017
17-0085-I	Suspect/Counterfeit Parts 2017 Monitoring File; Oak Ridge Reservation; Oak Ridge, TN	24Oct2017
17-0049-I	Monitoring; Suspect/Counterfeit Items; Region 7 Investigations	25Oct2017
17-0026-I	Theft of Government Property--MN; Savannah River Site	26Oct2017
17-0087-I	S/CI MONITORING CASE / SRS REGION 2 INVESTIGATIONS / FY 2017	26Oct2017
17-0092-I	REGION 4 INVESTIGATIONS FY17 THEFT OF GOVERNMENT PROPERTY MONITORING CASE	26Oct2017
17-0046-I	Monitoring; Theft of Government Property; Denver (Region 7 Investigations)	30Oct2017
17-0001-I	Unknown; Computer Fraud; 2016 DOE Cyber Conference	31Oct2017

Number	Title	Date Closed
(b)(6).(b)(7)(C) 17-0065-I	Management Irregularity; Vertimass Inc and ORNL	03Nov2017
17-0047-I	[REDACTED] Child Pornography; Paducah Site Office	13Nov2017
17-0057-I	LAN Account Access Irregularities; Public Key Infrastructure Support Team	16Nov2017
(b)(6).(b)(7)(C) 17-0003-I	SCCAQ Energy LLC; SBIR Grant Fraud (FS/FC); Richland, WA	20Nov2017
16-0029-I	[REDACTED] Conflict of Interest; LBNL	22Nov2017
17-0093-I	Schafer Livermore Lab; Alleged Bid-rigging; Livermore, CA	14Dec2017
18-0005-I	United Professional Pro-Force; Restriction on Financial Transactions; SRS	29Dec2017